

Regulations and Awareness for Preventing Insider Trading

To protect shareholder rights and ensure equal treatment of shareholders, the company has established internal regulations such as the "Corporate Governance Best Practice Principles," "Procedures for Handling Material Inside Information," and "Procedures for Ethical Management and Guidelines for Conduct" to prevent insider trading by directors, managers, and employees. The company conducts at least one educational session each year for directors, managers, and employees on relevant procedures and laws. For new directors and managers, the advocacy is conducted within three months of their appointment, and for new employees, it is included in the onboarding training conducted by HR.

Additionally, prior to the announcement of each quarterly financial report, the company issues an internal notice to educate insiders on insider trading regulations and the company's stock trading control measures. The content of the advocacy is as follows:

In accordance with Article 157-1 of the "Securities and Exchange Act" and Article 10 of the "Corporate Governance Best Practice Principles for TWSE/GTSM Listed Companies" issued by the Financial Supervisory Commission, the following insider trading regulations and company stock trading control measures are hereby advocated to all insiders:

1. When insiders become aware of material information that may affect the stock price, they must not buy or sell the company's stocks or other equity securities in their own name or in the name of others before the information is publicly disclosed or within 18 hours after its disclosure (Securities and Exchange Act, Article 157-1).
2. Insiders are prohibited from trading the company's stocks during the 30 days prior to the announcement of the annual financial report and the 15 days prior to the announcement of each quarterly financial report (Corporate Governance Best Practice Principles for TWSE/GTSM Listed Companies, Article 10).

Although the aforementioned regulations do not carry penalties, due to the stringent insider trading regulations in current laws, insiders are advised to refrain from trading stocks or other equity securities during the specified periods to avoid inadvertent violations.

The company's Corporate Governance Officer implemented the above insider trading regulations and stock trading control measures before the financial report announcements on January 8, April 8, July 1, and September 30, 2024, to ensure internal compliance.